

**IN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF PENNSYLVANIA**

<b>JULIA A. SUE, ACTING SECRETARY OF</b>	)	
<b>U.S. DEPARTMENT OF LABOR,</b>	)	
	)	
<b>Plaintiff,</b>	)	<b>CASE NO. 2:24-CV-00104 (MJH)</b>
	)	
<b>v.</b>	)	
	)	
<b>RIVERSEDGE ADVANCED RETIREMENT</b>	)	
<b>SOLUTIONS, LLC a Pennsylvania Company,</b>	)	
<b>PAUL PALGUTA, an individual,</b>	)	
	)	
<b>v.</b>	)	
	)	
<b>MID ATLANTIC TRUST COMPANY dba</b>	)	
<b>AMERICAN TRUST CUSTODY, a</b>	)	
<b>corporation, and CHARLES SCHWAB</b>	)	
<b>TRUST BANK, a corporation, <i>solely as Rule 19</i></b>	)	
<b><i>defendants</i></b>	)	
<b>Defendants.</b>	)	

**INDEPENDENT FIDUCIARY’S FIRST NOTICE OF FEE FILING**

Receivership Management, Inc. (“RMI”), in its capacity as court-appointed Independent Fiduciary (“Independent Fiduciary”) to the “Mismanaged Plans” and the “Client Plans” as outlined in its Preliminary Injunction entered in this case on February 20, 2024, by its counsel, and pursuant to paragraph 6 of the Court’s Preliminary Injunction (ECF No. 40) hereby submits its First Notice of Fee Filing and states as follows:

This filing constitutes RMI’s fee filing for the period just prior to and commencing with its appointment on February 5, 2024 through February 29, 2024. Pursuant to the Court’s Preliminary Injunction, RMI is authorized to seek payment from the funds tendered to the Court to pay itself and its service providers reasonable and necessary fees and expenses from the Fund. Before making any such payment, RMI must file with the Court, with a copy to the Secretary of Labor, the RiversEdge Defendants, the Rule 19(a) Defendants and all Plans, a fee notice, which

shall include a detailed invoice itemizing the compensation, fees and expense to be paid. RMI shall not be required to file, service, or otherwise deliver the Fee Notice to any person or persons other than the Court, the Secretary, the RiversEdge Defendants, the Rule 19(a) Defendants and all Plans. If within fifteen (15) days after filing of a Fee Notice, no objection to the Fee Notice is made or payment by the Court of the compensation, fees, or expenses described therein is filed with this Court, such compensation, fees, and expenses shall be shall be paid by the Court from amounts submitted to the Court pursuant to paragraph 5 of the Preliminary Injunction (ECF No. 40). Itemized statements of work and applicable hourly rates for RMI and its service providers are attached as Exhibit 1 hereto and are summarized below. Fees and expenses for the period of January 26, 2024 to February 29, 2024 are as follows:

A. Receivership Management Inc.	\$ 33,443.66
\$ 28,925.52 /Contract Labor	
\$ 4,518.14 /Other Expenses	
B. Berry & Tudor PC-Legal	\$ 10,083.00
C. Strassburger McKenna, Gutnick & Gefsky-Legal	\$ 1,261.50
D. Lattimore Black Morgan & Cain-Data Work	\$ 26,850.00
Total:	\$ 71,638.16

Respectfully submitted,

**RECEIVERSHIP MANAGEMENT, INC., AS  
COURT-APPOINTED INDEPENDENT  
FIDUCIARY OF MISMANAGED PLANS AND  
CLIENT PLANS OF RIVERSEDGE  
ADVANCED RETIREMENT SOLUTIONS  
LLC,**

*/s/ Bynum E. Tudor III*

Bynum Tudor III (TN Bar 012279)

*Admitted Pro Hac Vice*

Berry & Tudor PC

5123 Virginia Way

Suite B-23

Brentwood, Tennessee 37027

(615) 726-1000

(615) 370-0077 (fax)

*Counsel for Receivership Management, Inc.*

**SUMMARY TIME SHEET- RMI**  
SERVICES PROVIDED FOR RIVERSEDGE  
FOR THE PERIOD 1/26/24 THRU 2/29/24

Receivership Management Inc.		
JAN 26- 31 2024 FEES	4,630.80	
FEB 2024 FEES	<u>24,294.72</u>	28,925.52
Strassburger McKenna Gutnick & Gefsky		
FEB 2024 FEES	<u>1,261.50</u>	1,261.50
Berry & Tudor, PC - Legal		
FEB 2024 FEES	<u>10,083.00</u>	10,083.00
LMBC, PC		
FEB 2024 FEES	<u>26,850.00</u>	26,850.00
<b>TOTAL FEES DUE:</b>	<b>\$</b>	<b><u>67,120.02</u></b>
<b>TOTAL EXPENSES DUE:</b>	<b>\$</b>	<b><u>4,518.14</u></b>
<b>GRAND TOTAL DUE:</b>	<b>\$</b>	<b><u><u>71,638.16</u></u></b>

Receivership Management, Inc.  
 510 Hospital Drive, Suite 490  
 Madison, TN 37115

Invoice for Professional Services

**RIVERSEDGE ADVANCED RETIREMENT SOLUTIONS LLC**

**January 2024**

1/26/2024	Robert E. Moore, Jr.	UPDATE FROM DOL RE: HEARING SCHEDULED, FILINGS MADE, QUESTIONS RE: ESTIMATE, RESPOND TO SAME, NUMEROUS TELEPHONE CALLS REGARDING PROJECT AND TIMING, REVIEW DOCUMENTS IN PREPARATION FOR HEARING ON 1-29 5.8	5.8	\$204.00	\$1,183.20
1/27/2024	Robert E. Moore, Jr.	CONTINUED REVIEW OF INFORMATION FOR UPCOMING HEARING, UPDATES WITH DOL REPRESENTATIVES 2.25	2.25	\$204.00	\$459.00
1/29/2024	Robert E. Moore, Jr.	UPDATE FROM DOL REPRESENTATIVES, ADDITIONAL HEARING TO BE HELD, QUESTIONS RE: PROPOSAL, REVIEW MINUTE ENTRY 2.8; ADDITIONAL FOLLOW UP WITH DOL REPRESENTATIVES .25; REVIEW AND EMAIL APPOINTMENT ORDER MATTERS WITH COUNSEL .3; CALL WITH B.TUDOR RE: SAME 1.5; CALL WITH DOL REPRESENTATIVES .8; UPDATE CALL WITH J.LAWSON .25	5.9	\$204.00	\$1,203.60
1/30/2024	Robert E. Moore, Jr.	UPDATES FROM DOL COUNSEL RE: REQUESTED REVISIONS, UPDATES AND DISCUSSIONS WITH J.M.MCDOUGLE AT LATTIMORE BLACK MORGAN AND CAIN RE: CHANGES PROPOSED, REQUESTED CALL WITH DOL REPRESENTATIVES, RECEIVE INFORMATION FROM COUNSEL FOR MID-ATLANTIC RE: SERVICES FOR ACCOUNTING, FORWARD SAME TO D.BENNETT 2.9; CALL FROM B.TUDOR RE: HEARING 1.3	4.2	\$204.00	\$856.80
1/31/2024	Robert E. Moore, Jr.	UPDATES FROM D.BENNETT ON CONTACTS WITH MID-ATLANTIC M.COLLETI .3; CONTINUED RESPONSE TO QUESTIONS FROM DOL REPRESENTATIVES RE: BID QUESTIONS, ERISA ISSUES, ACCOUNTING QUESTIONS, LOGISTICS, POTENTIAL CONFLICTS OF INTEREST WITH DUAL APPOINTMENT 4.0; CALL WITH DOL REPRESENTATIVES .25	4.55	\$204.00	\$928.20

**Total**

**\$4,630.80**

**Thursday, April 11, 2024**

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Receivership Management, Inc.  
 510 Hospital Drive, Suite 490  
 Madison, TN 37115

Invoice for Professional Services

**RIVERSEDGE ADVANCED RETIREMENT SOLUTIONS LLC**

**February 2024**

2/1/2024	J. David Bennett	READ EMAIL AND DEVELOPED A RESPONSE TO QUESTIONS ON TIMEFRAME TO COMPLETE THE PROJECT.	0.2	\$156.00	\$31.20
2/1/2024	Robert E. Moore, Jr.	CALL WITH D. BENNETT RE: RECORDS INFORMATION, PROJECT PLANNING .3; CALL WITH B.TUDOR RE:COURT FILINGS .25; RESPOND TO EMAILS FROM DOL COUNSEL .2	0.75	\$204.00	\$153.00
2/2/2024	Robert E. Moore, Jr.	UPDATE CALL ON UPCOMING HEARING FROM DOL REPRESENTATIVES .3; GENERAL UPDATE ON PROGRESS WITH D. BENNETT .10; RESPOND TO EMAIL FROM J.MORAN RE: PROPOSED ORDER FOR NOON .25	0.65	\$204.00	\$132.60
2/3/2024	Robert E. Moore, Jr.	CALL WITH D. BENNETT RE: MID-ATLANTIC REPORT, DISCUSSION OF WHAT IT DID NOT REVIEW .4	0.4	\$204.00	\$81.60
2/5/2024	J. David Bennett	RECEIVED AND READ ORDER OF APPOINTMENT FOR RIVERSEDGE PROJECT AND A SEPARATE APPOINTMENT FOR THE PROFIT SHARING PLAN. MARKED UP THE ORDER SHOWING WHAT THE ORDER SPECIFICALLY REQUESTED. RECEIVED QUESTIONS ABOUT WORK AS IF FOR THE PROFIT SHARING PLAN. PRINTED ORDERS AND PLACED IN MY WORKPAPER FILE FOR REFERENCE.	0.6	\$156.00	\$93.60
2/5/2024	Robert E. Moore, Jr.	CALL WITH DOL COUNSEL RE: INFORMATION GATHERING .10; UPDATE DISCUSSION WITH B.TUDOR RE: INFORMATION GATHERING, LIMITS OF TEMPORARY RESTRAINING ORDER .7; EMAILS TO COUNSEL, RMI TEAM AND LBMC TEAM RE: ISSUANCE OF TEMPORARY RESTRAINING ORDER, REVIEW OF SAME .5	1.3	\$204.00	\$265.20
2/6/2024	J. David Bennett	CALL WITH ROB WRITE DRAFT PRELIMINARY WORK PROGRAM WORK 2.6 HR. EMAIL WITH CHRIS LOVIN AT LBMC RE: PROCESS, RESPONSIBILITIES AND CONCERNS/ FOCUS. 0.8 HR. EMAIL ON REVERSAL OF RIVERSEDGE PROFIT SHARING PLAN-. 0.1 HR.	3.5	\$156.00	\$546.00

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<b>RIVERSEDGE ADVANCED RETIREMENT SOLUTIONS LLC</b>			<b>February 2024</b>		
2/6/2024	Robert E. Moore, Jr.	CALL WITH D. BENNETT RE: MISSING INFORMATION AND INFORMATIONAL NEEDS FROM MID-ATLANTIC 1.10; CALL WITH B.TUDOR RE: ERISA ISSUES, SCOPE OF REVIEW, UPCOMING MEETING 1.4	2.5	\$204.00	\$510.00
2/7/2024	J. David Bennett	TEAMS CALL INTERNAL STAFFING DISCUSSIONS AND ASSIGNMENTS WITH TIMELINE CONSIDERATION FOR PLANNING DOCUMENTS. READ EMAIL FROM RMOORE.	1.1	\$156.00	\$171.60
2/7/2024	Jacqui D. Lawson	TEAMS MEETING FOR PLAN OF ACTION & DISCUSSION OF ORDERS	1	\$104.40	\$104.40
2/7/2024	Lauren B. Garcia	STAFF MEETING, CHECK VOICEMAILS, REVIEW ORDER	2	\$104.40	\$208.80
2/7/2024	Robert E. Moore, Jr.	ORGANIZATIONAL MEETING WITH COUNSEL FOR RULE 19 DEFENDANTS, DEFENDANTS, RECORDS REPRESENTATIVES, LBMC REPRESENTATIVES, RMI TEAM, COUNSEL FOR RMI B.TUDOR, DOL COUNSEL 1.10; NUMEROUS EMAILS WITH COUNSEL AND DOL REPRESENTATIVES RE: RIVERSEDGE RECORDS .25; APPROVE LETTER TO COUNSEL RE: REQUESTED ACCESS .10	1.45	\$204.00	\$295.80
2/8/2024	Lauren B. Garcia	CALLS FROM BROKER FOR IF APPT, PREPARE CONTACT SPREADSHEET	0.4	\$104.40	\$41.76
2/8/2024	Robert E. Moore, Jr.	CALL WITH DOL COUNSEL RE: ACCOUNT FREEZES .5; EMAILS WITH LBMC TEAM RE: RECORDS PROCESSING .2	0.7	\$204.00	\$142.80
2/9/2024	J. David Bennett	TEAMS CALL WITH RIVERSEDGE ATTORNEYS 1.2 HR. TEAMS CALL WITH RMI FOR DECISION ON GO FORWARD .4 HR. CALL TO JLAWSON AND E-MAIL TO BYM ON INTERVIEWS WITH WRITE-UP. 0.8 HR.	2.4	\$156.00	\$374.40
2/9/2024	Jacqui D. Lawson	TEAMS MEETING FOR PLAN OF ACTION & DISCUSSION OF ORDERS WITH DEFENDANTS COUNCIL (1.20) TEAMS CALL WITH RMI TEAM (.40) CALL WITH D. BENNETT (.30) CALL WITH L. GARCIA TO ARRANGE DATES FOR TRAVEL (.20)	2.1	\$104.40	\$219.24
2/9/2024	Lauren B. Garcia	CHECK VM, EMAIL MSGS TO R.MOORE, ONBOARDING MEETING, REVIEW EMAILS RE FUNDING SUBMITTED TO COURT, CALL W J LAWSON TO COORDINATE TRAVEL	2.7	\$104.40	\$281.88

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<b>RIVERSEDGE ADVANCED RETIREMENT SOLUTIONS LLC</b>			<b>February 2024</b>		
2/9/2024	Robert E. Moore, Jr.	RECORDS ACCESS PROGRESS CALL 1.10; CALL WITH B.TUDOR RE: RECORDS ACCESS PROGRESS .25; EMAILS RE: PLAN RECORDS AND CORPORATE INFORMATION RIVERSEDGE, UNFREEZING OF 17 AFFECTED PLANS TO J.LAWSON, D. BENNETT, J.MORAN .3; EMAILS WITH D. BENNETT AND J.MORAN RE: RECORDS ORDER .10; RESPOND TO QUESTIONS FROM D. BENNETT RE: BEAVER COUNTY .10;	1.85	\$204.00	\$377.40
2/11/2024	Robert E. Moore, Jr.	EMAILS TO B.TUDOR AND WILL SON RE: RECORDS IMPACTED AT SRT AND ELSEWHERE .25	0.25	\$204.00	\$51.00
2/12/2024	J. David Bennett	TEAMS CALL WITH SWAB RETIREMENT TECHNOLOGY (SRT) 1.3 HR. CALL TO RMOORE RE DATA DUMP PARAMETERS AND READING AND RESPONDING TO 6 EMAILS 2.3 HR.	3.6	\$156.00	\$561.60
2/12/2024	Jacqui D. Lawson	CONFERENCE CALL WITH SCHWAB TECHNOLOGY & PENSION PLANNING RE: SRT INFORMATION AND ABILITY TO DOWNLOAD INFORMATION	0.7	\$104.40	\$73.08
2/12/2024	Lauren B. Garcia	CALLS RE IF APPT	2.1	\$104.40	\$219.24
2/12/2024	Robert E. Moore, Jr.	UPDATE FROM D. BENNETT RE: MID ATLANTIC WORK, NEEDED ADDITIONAL INFORMATION .4; UPDATE B.TUDOR RE: SAME .2; UPDATE CALL FROM LBMC .25; FURTHER UPDATE FROM D. BENNETT ON MISSING INFORMATION .8; RETURN CALLS TO D.GRAVER AND M.TANAKA INVESTMENT ADVISERS TO AFFECTED GROUPS .25; COMPLETE ENGAGEMENT WORK WITH ERICA LAUGHLIN FOR LOCAL COUNSEL .10	2	\$204.00	\$408.00
2/13/2024	J. David Bennett	RECEIVED MID-ATLANTIC HIGH LEVEL SUMMARY ON 17 PLANS. CALLS WITH ROB ON POLICY QUESTIONS AND INTERVIEWS FOR PITTSBURG EMAIL FROM LGARCIA ON PITTSBURG TRAVEL ARRANGEMENTS. FULL ANALYSIS OF SAID REPORT AS TO INCLUSION AND EXCLUSIONS AND EXAMINED BASIS FOR OPINIONS AND TRACED NUMBERS WITHIN THE REPORT.	3.8	\$156.00	\$592.80
2/13/2024	Lauren B. Garcia	CALL RE IF APPT, EMAIL FROM GROUP	0.2	\$104.40	\$20.88
2/13/2024	Robert E. Moore, Jr.	RECEIVED DRAFT NOTICES FROM RULE 19 DEFENDANTS AND DOL, FORWARD TO L.GARCIA FOR INFORMATION .2; CONTINUED EMAILS TO J.LAWSON AND D. BENNETT RE: RECORDS AS SET FORTH IN THE TEMPORARY INJUNCTION .25	0.45	\$204.00	\$91.80

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RIVERSEDGE ADVANCED RETIREMENT SOLUTIONS LLC			February 2024		
2/14/2024	J. David Bennett	RECEIVED EMAIL FROM KRIS STUDIO SO SRT WITH PLAN LISTING AS OF 2/14/24 EXAMINED EXCEL SHEET. ALSO EMAIL FROM RMOORE WANTING TO TALK ABOUT THE COLETTI REPORT	0.7	\$156.00	\$109.20
2/14/2024	Lauren B. Garcia	REVIEW VM, EMAILS TO R.MOORE, REVIEW FILINGS, REVIEW STORAGE AND TRAVEL DETAILS, EMAILS RE OFFICE ACCESS, BOOK TRAVEL, INTER-OFFICE EMAIL TRAFFIC RE TRAVEL COORDINATION AND ACCESS, IF ONBOARDING	2	\$104.40	\$208.80
2/14/2024	Robert E. Moore, Jr.	CALL WITH A.AMATO RE: OFFICE ACCESS .3; UPDATE B.TUDOR ON PLAN TO VISIT OFFICES AND SECURE RECORDS, CONTINUED QUESTIONS ON RECORDS ACCESS .75; ATTEND COURT HEARING BY ZOOM .75; POST HEARING CALL FROM U.RENGACHARY .3; HEARING FOLLOW UP AND PROJECT LITIGATION ISSUES 1.0; UPDATE CALL FROM D. BENNETT ON PROGRESS .10; EMAIL UPDATES FROM M.COLETTI .10; REVIEW MATC ACCOUNTING REPORT DRAFT .25; REVIEW AND RESPOND TO EMAIL FROM W.SON RE: LBMC NEXT STEPS ON DATA SUPPORT .2	3.75	\$204.00	\$765.00
2/15/2024	J. David Bennett	READ 2 EMAILS RE: SETTING UP FOR SCHWAB RETIREMENT TECHNOLOGIES TEAMS CALL WITH DOL ON MID- ATLANTIC REPORT AND WORK TO BE DONE.	0.2	\$156.00	\$31.20
2/15/2024	Jacqui D. Lawson	CALL WITH LGARCIA & RMOORE (.50)	0.5	\$104.40	\$52.20
2/15/2024	Lauren B. Garcia	EMAILS FROM R.MOORE AND S. PRYOR RE TRIP COORDINATION, CALL WITH R.MOORE AND J.LAWSON RE DATA COLLECTION, EMAIL TO W. SON RE METADATA, CALL FROM BROKER RE UNPAID FEES, EMAIL TO R.MOORE, EMAIL FROM B.TUDOR	1	\$104.40	\$104.40
2/15/2024	Robert E. Moore, Jr.	CALL WITH DOL REPRESENTATIVES RE: ASSET FREEZE .5; UPDATE CALL FROM DOL COUNSEL ON PROGRESS AS TO RECORDS ACCESS.5; CALL FROM D. BENNETT RE: EXPLANATION OF REVIEW AND INFORMATIONAL CONCLUSIONS THAT CAN BE DRAWN AND CONTINUED DISCOVERY OF TRANSACTIONS 1.10; EMAILS TO AND FROM B.TUDOR RE: OFFICE ACCESS, COMPUTER RECORDS LOCATION, COMPUTER UNIT LOCATIONS .3; EMAIL TO S.PRYOR RE: DOCUMENT AND FEE PAYMENT QUESTIONS .10; REVIEW AND RESPOND TO DRAFT PROPOSED ORDER FROM DOL .2; EMAILS FROM AND TO LANDLORD AMATO .2	2.9	\$204.00	\$591.60

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<b>RIVERSEDGE ADVANCED RETIREMENT SOLUTIONS LLC</b>				<b>February 2024</b>		
2/16/2024	J. David Bennett	PRE CALL MEETING WITH RMOORE TO GO OVER WHAT IS STILL OPEN WORK FROM OUR BID AFTER COLETTI REPORT. TEAMS CALL WITH DOL ON MID- ATLANTIC REPORT AND WORK TO BE DONE.	2.7	\$156.00	\$421.20	
2/16/2024	Jacqui D. Lawson	AIRPORT CHECK IN AND WAIT TIME (2.0) FLIGHT AND CAR RENTAL PICKUP; TRAVEL TO RIVERSEDGE OFFICE (3.0) MEETING WITH ALYSSA ANGOTTI; MEETING WITH JIMMY AMATO; BACKUP PC FILES TO EXTERNAL DRIVES & VERIFY ALL PAPER FILES ARE PLAN DOCUMENTS; BOX & LOAD FOR SHIPPING; (9.0) REVIEW FILES ON EGNYTE SERVER (1.0)	15	\$104.40	\$1,566.00	
2/16/2024	Lauren B. Garcia	TRAVEL FROM NASHVILLE TO SEWICKLEY, PA, WALKTHROUGH AND INVENTORY OF CONTENTS, PACK PAPER FILES, TRIP TO UPS STORE TO MAIL PAPER RECORDS TO RMI	13.5	\$104.40	\$1,409.40	
2/16/2024	Robert E. Moore, Jr.	CALL FROM D. BENNETT RE: NEEDED INFORMATION AND FURTHER OBSERVATIONS ON MID-ATLANTIC REPORT 1.0; GROUP CALL ON MID-ATLANTIC REPORT FOLLOW UP 1.1; CALL WITH B.TUDOR RE: UPCOMING TRIP TO RIVERSEDGE OFFICES AND UPDATE ON MID-ATLANTIC REPORT 1.0; CALL WITH J.LAWSON RE: UPDATE ON TRAVEL, ARRIVAL AT OFFICES OF RIVERSEDGE .10; WEEKLY UPDATE FROM LBMC, FORWARD TO D. BENNETT .2; EMAIL TO LBMC TEAM RE: DATA LOCATIONS-SRT AND OTHER LOCATIONS .2	3.6	\$204.00	\$734.40	
2/17/2024	J. David Bennett	READ SUMMARY EMAIL RE: VISIT CONCERN FROM BYM AND UPDATE FROM RMOORE	0.2	\$156.00	\$31.20	
2/17/2024	Jacqui D. Lawson	TRAVEL TO UPS FOR DROP OF 1ST SHIPMENT OF BOXES; CONTINUE TO SORT & CATALOG ALL FILES WITHIN RIVERSEDGE OFFICE; LOAD & DROP FOR SHIP AT UPS; (9.0) VERIFY BACKUP OF ALL PC FILES TO EXTERNAL DRIVE; CONTINUE BACKUP ON MISSING FILES; CALL WITH BYM RE: PC; VIDEO OFFICES & EXIT (2.0) EMAIL BYM TUDOR IN RESPONSE TO EMAIL; COMBINED LGARCIA INVENTORY & J. LAWSON INVENTORY; UPDATE INVENTORY LIST DUE TO WEIGHT OF BOXES AND FILES BEING MOVED TO NEW BOXES; RETURN EMAILS RE: REQUEST FROM ATTORNEY (2.0)	13	\$104.40	\$1,357.20	
2/17/2024	Lauren B. Garcia	CONTINUE INVENTORY OF CONTENTS AND PACKING OF PAPER DOCUMENTS, TRIP TO UPS STORE TO MAIL PAPER RECORDS TO RMI, STATUS CALL WITH B.TUDOR, EMAIL LAPTOP SERIAL NUMBERS TO J.LAWSON	10.6	\$104.40	\$1,106.64	

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RIVERSEDGE ADVANCED RETIREMENT SOLUTIONS LLC			February 2024		
2/17/2024	Robert E. Moore, Jr.	UPDATE CALL ON OFFICE PROGRESS .7; EMAIL TO AND FROM B.TUDOR RE: SAME .2; EMAIL TO WIL SON AT LBMC RE: LOCATION OF PLAN DOCUMENTS, ADOPTION AGREEMENTS, AMENDMENTS .3	1.2	\$204.00	\$244.80
2/18/2024	Jacqui D. Lawson	TRAVEL TO AIRPORT; SECURITY CHECK IN & FLIGHT BACK TO BNA (4.0)	4	\$104.40	\$417.60
2/18/2024	Lauren B. Garcia	RETURN TRAVEL FROM PITTSBURG TO NASHVILLE, UPLOAD DATA TO SHAREFILE	4.3	\$104.40	\$448.92
2/19/2024	J. David Bennett	PLANNING OF INFORMATION TO BE EXTRACTED FROM RIVERSEDGE QUICKBOOKS MAINTAINED BY THEM WHEN SHE GOES TO PITTSBURG AREA OFFICE VISIT AND RELATED PHONE CALL. CALL TO JLAWSO RE: TIP AND LIST ITEMS AND COMPUTER. CONVERTED CSV CUSTOMER LISTING TO EXCEL EMAIL FROM COMBER ON OPEN VISIT ISSUES 2.1 HR.	1.6	\$156.00	\$249.60
2/19/2024	Jacqui D. Lawson	DOWNLOAD 2024 QUICKBOOKS IMPORT PORTABLE FILE; RUN CUSTOMER REPORT FORWARD TO RMOORE FOR PLAN LIST; RUN ACTIVE EMPLOYEE LIST FOR PC BACKUPS; CALL WITH LGARCIA RE: 2024 QUICKBOOKS (1.20) CALL WITH WILL SON, LBMC & L. GARCIA RE: DATA RECOVERED AT RIVERSEDGE OFFICE (.40); CALL WITH D. BENNETT REQUEST FOR INFORMATION FOUND IN QUICKBOOKS (.40)	2	\$104.40	\$208.80
2/19/2024	Lauren B. Garcia	EMAILS AND MEMOS RE DATA COLLECTION, CHECK VM EMAIL TO R.MOORE, DOWNLOAD QB FILES AND SOFTWARE, IMPORT QIB FILE AND REVIEW	2.4	\$104.40	\$250.56
2/19/2024	Robert E. Moore, Jr.	UPDATE CALL ON RECORDS WITH LBMC TEAM .7; EMAILS WITH D. BENNETT RE: CUSTOMER LISTS AS REFLECTED IN QUICKBOOKS .10; EMAIL FROM AND TO B.TUDOR AND J.LAWSON RE: QUICKBOOKS ACCESS .2	1	\$204.00	\$204.00
2/20/2024	J. David Bennett	EMAIL AND FOLLOW-UP ON ITEMS REQUESTED THE PRIOR DAY. WORKED ON SPECIFIC CONCERNS OF RIVERSEDGE NOT MOVING FUNDS AS REQUESTED BUT DIRECTLY TO THE RIVERSEDGE BANK ACCT.	0.5	\$156.00	\$78.00
2/20/2024	Lauren B. Garcia	BRIEF R.MOORE ON RECORDS	0.3	\$104.40	\$31.32

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RIVERSEDGE ADVANCED RETIREMENT SOLUTIONS LLC			February 2024		
2/20/2024	Lauren B. Garcia	CALLS RE IF APPT, EMAILS TO LBMC RE LAPTOP DATA, DELIVER LAPTOP TO P. WALKER TO SECURE DATA, SECURE QB DATA COPY, EXPORT 2017-2024 GENERAL LEDGERS TO EXCEL PER REQUEST FROM D. BENNETT, UPLOAD RECORDS TO DROPBOX FORM D. BENNETT'S REVIEW, CALLS FROM GROUPS	4.6	\$104.40	\$480.24
2/20/2024	Robert E. Moore, Jr.	CALL UPDATE FROM J.LAWSON RE: OFFICE VISIT .10; CALL WITH PLAN SPONSOR OF MISMANAGED PLAN .4; RETURN CALLS FROM INVESTMENT ADVISERS .25	0.75	\$204.00	\$153.00
2/21/2024	Jacqui D. Lawson	RMOORE, DBENNETT, LGARCIA TEAMS MEETING RE: STATUS UPDATE. VERIFICATION OF ITEMS IN QB; (1.0) CALL WITH LGARCIA RE: MEETING WITH WILL SON & DATA COLLECTIONS (.40) REVIEW QB (.60)	2	\$104.40	\$208.80
2/21/2024	Lauren B. Garcia	SETUP TEAMS MEETING, CONF CALL WITH R. MOORE ET AL, CALL WITH J.LAWSON RE DATA COLLECTION CHECK LIST	2.9	\$104.40	\$302.76
2/21/2024	Robert E. Moore, Jr.	CONFERENCE CALL WITH DOL COUNSEL 1.0	1	\$204.00	\$204.00
2/22/2024	J. David Bennett	RECEIVED AND DRAFTED RESPONSE ON THREE EMAILS RE: SRT FRONT-END ACCESS	0.3	\$156.00	\$46.80
2/22/2024	Lauren B. Garcia	PREPARE FINAL PAPER RECORDS INVENTORY DRAFT AND EMAIL TO B. TUDOR, CALL FROM MEMBER, EMAIL FROM B.TUDOR, EMAIL FROM W.SON, CONF CALL WITH SRT, EMAIL B. TUDOR	1.6	\$104.40	\$167.04
2/22/2024	Robert E. Moore, Jr.	UPDATE EMAIL TO L.GARCIA, J.LAWSON RE: CALL WITH SCHWAB RT .10; PRELIMINARY INJUNCTION ISSUED, FORWARD COMMENTS TO B.TUDOR .4	0.5	\$204.00	\$102.00
2/23/2024	J. David Bennett	WORKED ON QUESTIONS FOR MID- ATLANTIC RELATED TO TRADE INSTRUCTIONS & PROCESS. CALL WITH RMOORE AND JLAWSON 3 EMAIL RE: ACCESS PROBLEMS TO DATE COLLECTED ALSO ONE FROM BYM.	2.9	\$156.00	\$452.40
2/23/2024	Jacqui D. Lawson	REVIEW FILES ON EGNYTE SERVER IN SEARCH FOR PNC BANK STMTS; EMAIL TO REQUEST FILE LOCATION & NAME SENT TO BYM TUDOR (2.0)	2	\$104.40	\$208.80
2/23/2024	Lauren B. Garcia	CALL FROM PPT, EMAILS FROM LBMC AND RMI STAFF RE EGNYTE DRIVE ISSUES AND LOGIN	0.5	\$104.40	\$52.20
2/23/2024	Robert E. Moore, Jr.	FOLLOW UP CALL FROM D. BENNETT RE: MID ATLANTIC WORK 1.0	1	\$204.00	\$204.00

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RIVERSEDGE ADVANCED RETIREMENT SOLUTIONS LLC			February 2024		
2/24/2024	J. David Bennett	COMPLETED FIRST INQUIRY AS TO RECONCILIATION PROCESS TO MS. COLETTI	0.2	\$156.00	\$31.20
2/26/2024	J. David Bennett	CALL WITH M COLETTI AND NOTES WRITE-UP. DEVELOP CROSS-REFERENCE E-LISTING OF SAMPLE MEMBER NAMES WITH RECON ACCT NUMBERS FROM MID-ATLANTIC FIELD WORK	2.5	\$156.00	\$390.00
2/26/2024	Jacqui D. Lawson	TEAMS CALL WITH LGARCIA & LBMC RE: ACCESS TO PLAN FILES FROM SRT	0.5	\$104.40	\$52.20
2/26/2024	Lauren B. Garcia	CONF WITH LBMC RE DATA, CALLS FROM PPTS AND BROKERS RE DATA REQUEST, DOWNLOAD BANK STATEMENTS AND INSPECT PLAN DOCS, CALL TO R.MOORE RE EGNYTE SERVER ISSUES AND DATA FILES	4.5	\$104.40	\$469.80
2/26/2024	Robert E. Moore, Jr.	UPDATE ON INFORMATION RECEIVED BY D. BENNETT .10; DISCUSSION WITH B.TUDOR RE: RECORDS RETRIEVAL .6; CALL WITH L.GARCIA RE: RECORDS RETRIEVAL .3; EMAILS TO AND FROM B.TUDOR RE: RIVERSEDGE OFFICE VISIT ISSUES, SERVICE PROVIDER FEE REQUESTS (UNPAID), COMPUTER RECORDS ACCESS REQUEST .3	1.3	\$204.00	\$265.20
2/27/2024	J. David Bennett	CALL WITH M COLETTI GOING OVER RECONCILIATION 2.2 HOURS FORMAT AND INITIAL POPULATION TAX SPREADSHEETS. 2.0 HRS. CALL WITH RMOORE AND WRITE -UP. 1.1 HR.	5.3	\$156.00	\$826.80
2/27/2024	Lauren B. Garcia	ESTABLISH PROCEDURE FOR RECORDS REQUEST, DRAFT LETTER TEMPLATES, CALLS REQUESTING PLAN RECORDS	2.6	\$104.40	\$271.44
2/28/2024	J. David Bennett	UPDATE MEETING WITH RMOORE. REVIEW OF REQUESTED ITEMS FOR OUTSTANDING ITEMS. DRAFTED A MEMO TO RMOORE RE: OUTSTANDING ITEMS (PAST DUE). MADE CHANGES IN EXAMINATION PLAN BASED ON MEETING WITH RMOORE. 3.2 HR. RETRIEVING BANK ACCOUNT (PNC) FILE FROM RMI SERVER FOR THE SCOPE PERIOD SETTING ON IN MY WORKPAPER FILE SYSTEM 1.3 HR. CONSIDERED AND MADE CHANGES IN APPROACH BASED ON INFO TO DATE FROM COLETTI. CONTINUED TO UPDATE WORKPAPER FILE ORGANIZATION BASED ON RECON-FILES TO LOOK FOR PATTERNS EMAIL FROM LGARCIA RE: SRT FRONT END ACCESS AND ASSET REPORT ATTACHED. 2.8 HR.	7.6	\$156.00	\$1,185.60
2/28/2024	Lauren B. Garcia	UPLOAD FILES TO D. BENNETT'S DRIVE, DOWNLOAD PDF OF 2017-2024 GENERAL LEDGERS AND UPLOAD TO D. BENNETT, REVIEW OF DATA, CALLS/EMAILS RE PLAN RECORDS	2.8	\$104.40	\$292.32

Thursday, April 11, 2024

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<b>RIVERSEDGE ADVANCED RETIREMENT SOLUTIONS LLC</b>			<b>February 2024</b>		
2/28/2024	Robert E. Moore, Jr.	EMAIL UPDATE TO B.TUDOR RE: COUNSEL CALL FROM IMPACTED PLAN SPONSOR .10; REVIEW NEEDED INFORMATION FROM TAX RETURNS, FORWARD TO COUNSEL TO REQUEST .2; EMAIL TO K.STUDIOSO RE: DOCUMENTING PLAN SPONSOR REQUESTS FOR RECORDS AND INFORMATION BEING GIVEN FROM SRT, UPDATE B.TUDOR RE: SAME .2	0.4	\$204.00	\$81.60
2/29/2024	J. David Bennett	VOICEMAIL AND TEXT MESSAGE RE: PALGUTA COSTS TO REPLACE SHARES. EMAIL TO MS. COLETTI RE: GROSS TRANSFERS. EXAMINATION OF PLANS WITH RELACED SHARES AND IMPACT ON ACTUAL CASH DIVERSION. CALL WITH RMOORE RE: RECORDS RECEIVED AND OUTSTAND REQUESTS AND ANSWERED STATUS RELATED QUESTIONS. 4.0 HRS. MORNING CALL WITH MS. COLETTI WITH NOTES WRITE UP. READ & RESPONDED TO TECHNICAL TAX QUESTION FROM MR. TUDOR ON REQUESTED INFORMATION. 2.6 HRS. AFTERNOON CALL WITH MS. COLETTI 0.8 HR.	7.4	\$156.00	\$1,154.40
2/29/2024	Robert E. Moore, Jr.	EMAIL RE: SUBPOENA REQUEST AND NEEDED ADDITIONAL TIME .10	0.1	\$204.00	\$20.40
<b>Total</b>					<b>\$24,294.72</b>

Thursday, April 11, 2024

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**Strassburger McKenna  
Gutnick & Gefsky**

ATTORNEYS AT LAW SINCE 1919

Receivership Management, Inc.  
Robert Moore  
540 Hospital Dr.  
Ste 490  
Madison, TN 37115-5049Matter ID: 19197-00001  
Bill No: 133005  
Billed Through: 2/29/2024  
Bill Date: 3/14/2024**Matter Name: Su v. RiversEdge et al. - Local Counsel**PAYMENT HISTORY

Outstanding Accounts Receivable as of Feb 29 202	\$0.00
Payments received through 03/14/2024	0.00
<b>PREVIOUS BALANCE</b>	<b>\$0.00</b>

RETAINER INFORMATION

Retainer as of 3/14/2024	\$0.00
Retainer Received/Applied Through 03/14/2024	\$0.00
<b>RETAINER BALANCE</b>	<b>\$0.00</b>

FOR PROFESSIONAL SERVICES RENDERED

Date	Prof		Hours	Rate	Amount
02/07/2024	ELL	Received and reviewed email from B. Tudor re serving as local counsel in matter; Reviewed case docket and brief overview of Complaint; Telephone call to B. Tudor to discuss same	0.80	0.00	NC
02/09/2024	ELL	Prepared engagement letter; Drafted email to B. Tudor re client contact	0.40	0.00	NC
02/12/2024	ELL	Email exchange with R. Moore re approved counsel rate and court approval of fees requirement; Revised engagement letter re same	0.30	0.00	NC
02/14/2024	RDK	Drafted Motion for Admission Pro Hac Vice Motion for Bym Tudor.	1.70	340.00	578.00
02/14/2024	ELL	Email exchanges with R. Kaufmann and JW re appearance and pro hac vice admission; Email exchange with B. Tudor re same; Reviewed local rule re pro hac admission; Drafted email to B. Tudor re additional information for use in Certification for pro hac admission motion	0.40	405.00	162.00
02/19/2024	ELL	Reviewed draft Motion and Affidavit for pro hac admission; Reviewed local rules re same; Email exchange with R. Kaufman re same; Drafted email to R. Kaufman re filing deadlines	0.50	405.00	202.50
02/20/2024	RDK	Revised and finalized Motion for Admission Pro Hac Vice for Bym Tudor.	0.40	340.00	136.00
02/22/2024	ELL	Drafted email to B. Tudor with draft pro hac vice documents and filing instructions	0.20	405.00	81.00
02/28/2024	RDK	Received and responded to e-mail communication from B. Tudor and E. Laughlin.	0.20	340.00	68.00
02/29/2024	RDK	Confirmed filing process for B. Tudor.	0.10	340.00	34.00

**Fee Subtotal**            **\$1,261.50**

**RATE SUMMARY**

Professionals Name	Hours	Rate	Total
ELL - Erica L. Laughlin	1.50	\$0.00	0.00
ELL - Erica L. Laughlin	1.10	\$405.00	445.50
RDK - Robert D. Kaufman	2.40	\$340.00	816.00
<b>Total Hours</b>	<b>5.00</b>		<b>\$1,261.50</b>

<b>Accounts Receivable Information</b>	
0 - 30 Days	\$0.00
31 - 60 Days	\$0.00
61 - 90 Days	\$0.00
91 - 120 Days	\$0.00
Over 121 Days	\$0.00
Accrued Interest Included Above:	\$0.00

CURRENT FEES:	\$1,261.50
CURRENT EXPENSES:	\$0.00
<b>CURRENT AMOUNT DUE:</b>	<b>\$1,261.50</b>
PREVIOUS BALANCE:	\$0.00
RETAINER APPLICATION:	\$0.00
RETAINER REPLENISHMENT:	\$0.00
<b>TOTAL AMOUNT DUE:</b>	<b>\$1,261.50</b>



**Please remit with payment**

Receivership Management, Inc.  
Robert Moore  
540 Hospital Dr.  
Ste 490  
Madison, TN 37115-5049

**Matter ID: 19197-00001**

Statement Date: 3/14/2024	<b>Amount Due Now</b> <b>\$1,261.50</b>
Statement No: 133005	
Billed Through: 2/29/2024	

***Payment due upon receipt***

**Matter Name: Su v. RiversEdge et al. - Local Counsel**

Strassburger McKenna Gutnick & Gefsky  
Four Gateway Center, 22nd Floor  
444 Liberty Avenue  
Pittsburgh, PA 15222

(412) 281-5423

- Payment Type     Online at  
<https://secure.lawpay.com/pages/smglaw/operating>  
 Check/Money Order  
 Credit Card\*  
(complete form below)

**Amount Enclosed: \$** \_\_\_\_\_

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**Please let us know if your contact information has changed.**

New Address \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
New Phone \_\_\_\_\_  
New Email \_\_\_\_\_

\* Visa     MasterCard     Discover     American Express

Card Number \_\_\_\_\_  
Expiration Date \_\_\_\_\_  
Security Code \_\_\_\_\_  
Card Holder Name \_\_\_\_\_  
  
Signature \_\_\_\_\_



**BERRY & TUDOR, P.C.***A Professional Corporation*

5123 Virginia Way  
 Suite B-23  
 Brentwood, Tennessee 37027-7598

Telephone: (615) 726-1000

Fax: (615) 370-0077

FEIN: 62-1525112

Mr. Rob Moore  
 c/o Receivership Management, Inc.  
 510 Hospital Drive, Suite 490  
 Madison, TN 37115-5049

March 1, 2024

Client #: 1068

RE: RiversEdge Advanced Retirement Solutions, LLC

Invoice #: 22437

DATE	DESCRIPTION	HOURS	AMOUNT	
Feb-2-24	Emails from R. Moore and U. Rengachary re: memorandum of understanding with MATC for accounting work.	0.10	34.00	BET
Feb-5-24	Telephone conference with R. Moore re: appointment of independent fiduciary; emails from R. Moore re: same.	0.25	85.00	BET
Feb-6-24	Telephone conference with R. Moore re: status update on temporary restraining orders.	0.20	68.00	BET
Feb-7-24	Conference call with R. Moore, J. Lawson, L. Garcia, D. Bennett and LBMC representatives re: IF appointment over all plans' records; prepare draft letter re: records production and security; emails from and to R. Moore and U. Rengachary re: same; emails to and from R. Moore re: draft letter to defendants' counsel; emails to and from defendants' counsel re: plan records.	3.50	1,190.00	BET
Feb-7-24	Review contact files re: possible local counsel; office conference with J. Berry and emails to and from R. Moore and A. Dolinko re: same; voice mail and email to E. Laughlin re: engagement as local counsel (NO CHARGE).	0.70	0.00	BET
Feb-7-24	Telephone conference with E. Laughlin re: local counsel and status of case.	0.30	102.00	BET

Invoice 22437

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March 1, 2024

Feb-8-24	Emails from E. Laughlin and to R. Moore re: local counsel engagement; emails from and to M. Comber re: call on February 9.	0.20	68.00	BET
Feb-9-24	Emails to and from R. Moore re: adding LBMC to Teams call; attend Teams call with M. Comber, D. Masour, R. Moore, W. Son, J. Lawson, L. Garcia and D. Bennett.	2.25	765.00	BET
Feb-9-24	Emails from D. Bennett and J. Lawson re: on-site visit to Pittsburgh; emails from R. Moore re: deposits into court and re: Beaver County 457(b) plan contact information; emails from E. Laughlin and to R. Moore re: local counsel engagement letter; emails from M. Bernstein re: status update; email from M. Comber re: RiversEdge accounts information.	1.10	374.00	BET
Feb-11-24	Emails from and to M. Bernstein and from R. Moore et. al. re: Teams call on February 12.	0.20	68.00	BET
Feb-12-24	Emails to and from M. Bernstein and M. Comber re: Teams call; Teams call with Morgan Lewis attorneys re: Schwab personnel issues; emails to and from R. Moore re: RiversEdge account information action items; emails from and to J. Moran, EBSA and RMI re: Schwab call #2; attend second Schwab Teams call with Morgan Lewis attorneys, and representatives of DOL/EBSA, RMI and LBMC; email from R. Moore re: Wednesday Zoom hearing with Court.	1.60	544.00	BET
Feb-13-24	Emails to R. Moore and E. Laughlin re: admission pro hac vice; emails from J. Lawson and R. Moore re: no contact with office landlord yet.	0.20	68.00	BET
Feb-14-24	Emails from R. Moore and L. Garcia re: Pittsburgh office visit; emails to and from M. Comber re: ERISA bonds and other insurance; telephone conference with R. Moore re: proposed change to TRO, subsequent information received from Schwab, his discussion with RiversEdge office landlord re: office access; emails from R. Moore re: proposed TRO revision and landlord discussions; telephone conference with R. Moore re: outcome of today's Zoom hearing, Pittsburgh office visit; email	1.75	595.00	BET

Invoice 22437

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March 1, 2024

	to M. Comber re: Pittsburgh office visit; email from D. Bennett re: plan audits.			
Feb-15-24	Emails from and to R. Moore re: non-exempt church plans; email to M. Comber re: items removed from RiversEdge's office prior to TRO; email from S. Pryor re: office visit on 2/16/24; emails from and to D. Masour and R. Moore re: computers removed from office; email from J. Berry re: call from [redacted]; telephone conference with [redacted]; emails from [redacted] and to R. Moore re: Pittsburgh office records.	0.90	306.00	BET
Feb-16-24	Emails from L. Garcia and to and from D. Misour re: computer user names and passwords; telephone conference with R. Moore; emails to D. Misour and from M. Comber re: access to remote computers; emails to R. Moore re: above.	1.10	374.00	BET
Feb-16-24	Teams call with DOL/EBSA and R. Moore re: MATC report comments.	1.25	425.00	BET
Feb-17-24	Email from R. Moore re: progress of office visit; telephone conference with J. Lawson and L. Garcia re: documents and files retrieved; email to M. Comber re: access failures; emails from J. Lawson re: inventory of files; review inventory spreadsheet.	1.10	374.00	BET
Feb-18-24	Emails from and to J. Lawson re: her post-visit review of anticipated documents to be retrieved.	0.10	34.00	BET
Feb-19-24	Email from M. Comber re: unavailability of records during office visit; emails from D. Ellsworth re: access to Schwab files for all plans (not just 17); emails from and to J. Lawson and R. Moore re: Quickbooks 2024; emails from L. Garcia and to M. Comber re: Egnyte and Workxpress access for all plans.	0.30	102.00	BET
Feb-20-24	Emails from D. Oldfield and J. Jehlik re: LBMC's access to all plans' records at Schwab; emails from R. Moore, J. Moran and A. Luby re: service providers' advice re: deduction of service providers' fees from plan assets in January without payment being received by service providers.	0.25	85.00	BET

Invoice 22437

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March 1, 2024

Date	Description	Hours	Amount	Category
Feb-20-24	Emails from and to [redacted] re: [redacted]; email to R. Moore re: same; telephone conference with R. Moore re: same and re: details of Pittsburgh office visit; email to M. Comber re: additional file and computer requests; email from R. Moore re: funds diverted from Schwab plans to RiversEdge bank account.	1.20	408.00	BET
Feb-21-24	Emails from [redacted] and to R. Moore re: [redacted]; emails from A. Luby and R. Moore re: further discussions on accounting order under TRO; telephone conference with R. Moore; email to A. Luby re: inability to attend call; telephone conference with A. Luby, U. Rengachary and R. Moore; email to A. Luby re: ERISA bond; emails from D. Oldfield and D. Ellsworth re: access to Schwab files.	1.60	544.00	BET
Feb-22-24	Review preliminary injunction; prepare list of questions re: same; email to R. Moore; emails from and to L. Garcia re: inventory of RiversEdge records obtained (February 16-18); telephone conference with L. Garcia re: inventory.	1.90	646.00	BET
Feb-23-24	Emails from R. Moore and L. Garcia re: LBMC/Schwab RT call; emails from M. Comber and to R. Moore re: RiversEdge's answer to 2/19/24 email (passwords and user names); email to L. Garcia re: same; email from M. Comber re: 2/20/24 email request; email to R. Moore re: same; email from A. Luby re: instructing RiversEdge to give notice on ERISA bond(s); email from D. Oldfield re: file transfer to LBMC; emails from and to J. Lawson and to M. Comber re: Egnyte/Workxpress questions; emails from and to L. Garcia and K. Studioso re: roles of Berry & Tudor and LBMC; email from D. Oldfield re: Access database.	1.20	408.00	BET
Feb-24-24	Email from R. Moore re: D. Bennett's review of MATC's reconciliations.	0.10	34.00	BET
Feb-26-24	Email to R. Moore re: latest response from M. Comber; telephone conferences with R. Moore re: Egnyte/Workxpress access issues and Schwab RT's inquiry re: service provider fees; emails to R. Moore re: draft responses to same; email from D. Oldfield re: transaction files and tables; emails from K.	1.60	544.00	BET

Invoice 22437

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March 1, 2024

Studioso and to R. Moore re: engagement of Berry & Tudor and LBMC; emails to J. Blumenstein re: service provider fees and to M. Comber re: Egnyte access.

Feb-27-24	Emails from J. Blumenstein and to R. Moore re: unpaid service providers; emails from M. Comber and to R. Moore re: full access to Egnyte/ Workxpress; email from D. Oldham re: completion of Access database transfer; email from K. Studioso re: documentation of plans' changes in recordkeepers; emails from and to M. Comber re: ERISA bonds; telephone conference with R. Moore re: records received; response to [redacted]; and his call from the Pennsylvania Dept. of Labor re: RiversEdge's unpaid wages to employees.	1.00	340.00	BET
Feb-28-24	Review Local Rules and ECF - W.D. Pa re: filing motion for admission pro hac vice for a non-party; email to E. Laughlin re: same (NO CHARGE).	0.60	0.00	BET
Feb-28-24	Emails from L. Garcia and to M. Comber re: enhanced admin access to Egnyte and Workxpress and re: compliance with Preliminary Injunction; email from R. Moore re: St. Barnabas question; telephone conference with S. Corbin re: St. Barnabas' receipt of freeze notice; review TRO and Preliminary Injunction re: same; emails to R. Moore and S. Corbin explaining lift of freeze; emails from D. Bennett and to M. Comber re: request for corporate tax returns.	1.20	408.00	BET
Feb-29-24	Finalize and file motion for admission pro hac vice; emails from and to R. Moore re: same (NO CHARGE).	0.75	0.00	BET
Feb-29-24	Emails from R. Moore and B. George re: file sharing with DOL; review comment on [redacted]; email to R. Moore re: same; emails from M. Comber and to R. Moore re: corporate tax returns.	3.00	1,020.00	BET

**TOTAL FEES:**

31.50 \$10,013.00

## DISBURSEMENTS

Court Fees

70.00

Invoice 22437

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March 1, 2024

**TOTAL DISBURSEMENTS:** \$70.00

**TOTAL FEES & DISBURSEMENTS:** \$10,083.00

Previous Balance \$0.00

Previous Payments \$0.00

<b>PLEASE PAY:</b>	<b>\$10,083.00</b>
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**PAYMENT DUE WITHIN 30 DAYS OF STATEMENT. THANK YOU.  
PLEASE MAKE CHECKS PAYABLE TO BERRY & TUDOR, P.C.**

cc: Rob Moore via email



**LBMC, PC**

201 Franklin Road | Brentwood, TN 37027  
615-377-4600 | LBMC.com

Receivership Management Inc  
Attn: Lauren Garcia  
510 Hospital Drive  
Ste 490  
Madison, TN 37115

<b>Invoice</b>	<b>40918</b>
<b>Invoice Date</b>	04/11/2024
<b>Client No.</b>	2000184

Billing for professional services rendered in connection with Rivers Edge Data and Analytics.

**FEBRUARY 2024** - 89.5 total hours @ \$300 per hour

\*\*Please see attached supporting detail

<b>Total Due (USD)</b>	<b>\$</b>	<b>26,850.00</b>
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***Due Upon Receipt (unless otherwise stated in engagement letter)***

**Remittance Information:** Please note invoice number on check. For ACH and Wire payments, email remittance information to [ACHpmt@lbmc.com](mailto:ACHpmt@lbmc.com).

**Mail checks to:**

LBMC, PC  
PO Box 1869  
Brentwood, TN 37024-1869

**Online payments** can be made at [WWW.LBMCpay.com](http://WWW.LBMCpay.com)

**ACH and Domestic Wire Instructions**

Account Name: LBMC, PC  
Account Number: 86815354  
ABA #: 084307033  
FirstBank  
27 South Broad Street  
Lexington, TN 38351



## Invoice for Professional Services

**February 2024**

<b>LBMC, PC</b>			<b>February 2024</b>		
2/19/2024 - 2/23/2024	Dan Ellsworth	AZURE ENVIRONMENT DEVELOPMENT: •AZURE SETUP COMPLETION: SUCCESSFULLY ESTABLISHED THE AZURE ENVIRONMENT. •DATA PIPELINE CONSTRUCTION: DEVELOPED AN AZURE DATA FACTORY PIPELINE FOR FILE TRANSFERS FROM SCHWAB RT. SQL DATABASE •IMPLEMENTATION: PROVISIONED AN AZURE CLOUD-BASED SQL DATABASE. DATABASE POPULATION: MIGRATED THE ACCESS DATABASE INTO THE AZURE SQL DATABASE AND POPULATED IT WITH DATA FROM 17 PLANS. SFTP SERVER FILE TRANSFER: •SUCCESSFULLY ESTABLISHED A SECURE SFTP SERVER TO FACILITATE THE PROTECTED TRANSFER OF SCHWAB RT FILES TO OUR AZURE ENVIRONMENT. CONDUCTED THOROUGH TESTING OF LOGIN PROTOCOLS TO ENSURE SECURE ACCESS; CURRENTLY AWAITING THE RECEIPT OF ALL PLANS EXTRACT FILES FROM DON OLDFIELD.	38	\$300.00	\$11,400.00
2/19/2024 - 2/23/2024	Philip Walker	BACKUP SOLUTIONS AND BLOB STORAGE CONFIGURATION: •DATA SECURITY: SECURED BACKUPS FOR ONE LAPTOP AND ONE HARD DRIVE. •VIRTUAL ENVIRONMENT PREPARATION: CREATED A DISK IMAGE FOR VIRTUAL ENVIRONMENT RESTORATION, ENABLING RECREATION OF PAUL'S LAPTOP IN A VIRTUAL DESKTOP SETTING. •FILE TRANSFER VERIFICATION: LOGIN ACCESS TESTED AND CONFIRMED. PENDING RECEIPT OF FILES FROM DON OLDFIELD.	7	\$300.00	\$2100.00
2/19/2024 - 2/23/2024	Will Son	PROJECT MANAGEMENT	1	\$300.00	\$300.00

Tuesday, April 9, 2024

Page 2 of 2

LBMC, PC			February 2024		
2/26/2024 - 3/1/2024	Dan Ellsworth	<p>AZURE ENVIRONMENT DEVELOPMENT:</p> <ul style="list-style-type: none"> <li>•AZURE SETUP COMPLETION: ESTABLISHED THE AZURE ENVIRONMENT SUCCESSFULLY.</li> <li>•DATA PIPELINE CONSTRUCTION: DEVELOPED A DATA FACTORY PIPELINE IN AZURE FOR FILE TRANSFER OPERATIONS FROM SCHWAB RT.</li> <li>•SQL DATABASE IMPLEMENTATION: PROVISIONED A SQL DATABASE IN THE AZURE CLOUD.</li> <li>•DATABASE POPULATION: MIGRATED DATA FROM THE ACCESS DATABASE INTO THE AZURE SQL DATABASE FOR ALL PLANS.</li> <li>SQL DATABASE LOAD AND CONFIGURATION:</li> <li>•DATA INTEGRATION: SUCCESSFULLY INTEGRATED ALL PLAN DATA FROM SCHWAB RT INTO THE SQL DATABASE.</li> <li>•SCHEMA DEVELOPMENT:</li> <li>•ALL_PLANSSHEMA: CONSOLIDATED ALL SCHWAB RT DATA TO PROVIDE A COMPREHENSIVE HISTORICAL VIEW.</li> <li>•17_PLANS SCHEMA: FOCUSED ON THE PLANS CURRENTLY UNDER SCRUTINY TO PROVIDE A DETAILED HISTORICAL CONTEXT.</li> <li>•DATA PIPELINE ENHANCEMENT: DEVELOPED AZURE DATA FACTORY (ADF) PIPELINES FOR THE INGESTION OF NEW SCHWAB RT DATA.</li> </ul>	29.5	\$300.00	\$8,850.00
2/26/2024 - 3/1/2024	Philip Walker	<p>BACKUP SOLUTIONS AND BLOB STORAGE CONFIGURATION:</p> <ul style="list-style-type: none"> <li>•DATA SECURITY: SECURED BACKUPS FOR ONE LAPTOP AND ONE HARD DRIVE.</li> <li>•VIRTUAL ENVIRONMENT PREPARATION: CREATED A DISK IMAGE FOR VIRTUAL ENVIRONMENT RESTORATION, ENABLING RECREATION OF PAUL'S LAPTOP IN A VIRTUAL DESKTOP SETTING.</li> <li>•FILE TRANSFER VERIFICATION: LOGIN ACCESS TESTED AND CONFIRMED. PENDING RECEIPT OF FILES FROM DON OLDFIELD.</li> </ul>	14	\$300.00	\$4200.00
<b>February 2024 Total Invoice</b>					<b>\$26,850</b>

**RMI REIMBURSEMENT REQUEST**

Jacqueline Lawson

TRAVEL TO: Sewickley, PA 15143 (Allegheny County)

COMPANY TO CHARGE: RiversEdge Retirement Plan

DATES: February 16-18, 2024

**PER DIEM REIMBURSEMENT @ \$64 PER DAY (3/4 1ST & LAST DAYS (\$48.00))**

<b>Date</b>	<b>DESCRIPTION</b>	<b>AMOUNT</b>
2/16/2024	PER DIEM 3/4 1ST DAY	48.00
2/17/2024	PER DIEM FULL DAY	64.00
2/18/2024	PER DIEM 3/4 LAST TRAVEL DAY	48.00
		160.00

**MILEAGE REIMB @65.5 CENTS PER MILE**

<b>DATE</b>	<b>MILES</b>	<b>MILES RATE</b>	<b>AMOUNT</b>
2/16/2024	15	0.655	9.83
2/18/2024	15	0.655	9.83
			19.65

**LODGING ALLOWED AT \$136 ALLEGHENY COUNTY PA**

<b>DATE</b>	<b>DESCRIPTION</b>	<b>AMOUNT</b>
2/16/2024-		
2/18/2024	JACQUI LAWSON ROOM	203.15
2/16/2024-		
2/18/2024	LAUREN GARCIA ROOM	203.15
		406.30

**OTHER EXPENSES**

<b>DATE</b>	<b>DESCRIPTION</b>	<b>AMOUNT</b>
2/16/2024	SOUTHWEST ROUND TRIP FLIGHT	613.97
2/17/2024	UPS SHIPPING	713.27
2/17/2024	UPS SHIPPING	1,245.52
2/18/2024	DOLLAR RENTAL	469.00
2/17/2024	PAR MAR STORES-GAS	16.06
2/18/2024	AIRPORT PARKING	40.00
		3,097.82

**SUMMARY**

\$160.00	PER DIEM REIMBURSEMENT
\$19.65	MILEAGE REIMBURSEMENT
\$406.30	LODGING TOTAL
\$3,097.82	OTHER EXPENSES
\$3,683.77	

*Jacqueline Lawson* 2/19/2024



Booking Number  
**4126317149**

This is your receipt

## YOUR DETAILS

Name	Jacqueline Lawson
Email	jacqueline.lawson@yahoo.com
Date	Feb 14, 2024

## BOOKING DETAILS

Property name	La Quinta Inn by Wyndham Pittsburgh Airport
Property address	8507 University Boulevard Moon Township (Pennsylvania), United States of America PA 15108
Booking number	4126317149
Check-in	Friday, February 16, 2024
Check-out	Sunday, February 18, 2024
Amount paid on Feb 14, 2024	<b>\$406.30</b>

**Your receipt is automatically generated**  
**This is proof of your transaction – you can't use it to claim VAT.**

**Note: This isn't an invoice**

**A valid invoice for tax purposes can only be issued by the property**



### La Quinta Inn by Wyndham Pittsburgh Airport

**Address:** 8507 University Boulevard, Moon Township, PA 15108, United States of America

**Star Rating:** ●●●

**Phone:** +1 412 269 0400

**GPS Coordinates:** N 040° 30.488, W 80° 13.365

CHECK-IN

# 16

**FEBRUARY**

Friday

🕒 from 15:00

CHECK-OUT

# 18

**FEBRUARY**

Sunday

🕒 until 11:00

ROOMS

# 2 / 2

YOUR GROUP  
2 adults

## PRICE

2 rooms  
14 % TAX

\$356.40  
\$49.90

## Price

(for 4 guests)

# \$406.30

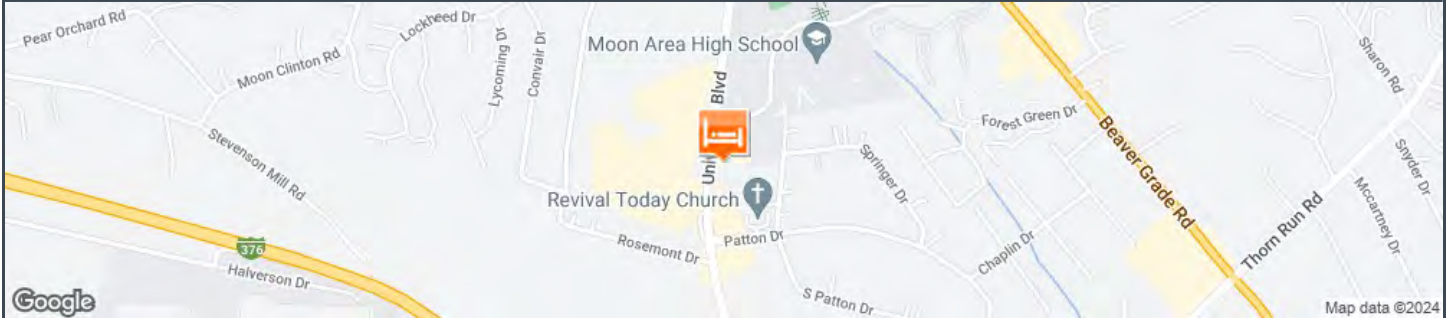
## Additional Info

Note that additional supplements (e.g. an extra bed) aren't added in this total.

If you cancel, applicable taxes may still be charged by the property.

If you don't show up for this booking, and you don't cancel beforehand, the property is liable to charge you the full reservation amount.

Remember to read the **Important info** below – it could contain important details not mentioned here.



### King Room

**Guest name:** Jacqueline Lawson

**Number of guests:** 1 adult

**Room Size:** 3767 ft<sup>2</sup>

**Meal plan:**

Breakfast is included in the final price

Private Bathroom • Air conditioning • Toilet • Bathtub or shower • Towels • Linens • Desk • Telephone • Ironing facilities • Coffee machine • Iron • Heating • Flat-screen TV • Hairdryer • Cable channels • Alarm clock • Hand sanitizer

**Bed Size(s):** 1 king bed (71-82 inches wide)

## \$203.15

Room \$178.20  
14 % TAX is included. \$24.95

**Cancellation policy:**

You can cancel for free until 1 day before arrival. If you cancel within 1 day of arrival, the cancellation fee will be the cost of the first night. If you don't show up, the no-show fee will be the same as the cancellation fee.

### King Room

**Guest name:** Lauren Garcia

**Number of guests:** 1 adult

**Room Size:** 3767 ft<sup>2</sup>

**Meal plan:**

Breakfast is included in the final price

Private Bathroom • Air conditioning • Toilet • Bathtub or shower • Towels • Linens • Desk • Telephone • Ironing facilities • Coffee machine • Iron • Heating • Flat-screen TV • Hairdryer • Cable channels • Alarm clock • Hand sanitizer

**Bed Size(s):** 1 king bed (71-82 inches wide)

## \$203.15

Room \$178.20  
14 % TAX is included. \$24.95

**Cancellation policy:**

You can cancel for free until 1 day before arrival. If you cancel within 1 day of arrival, the cancellation fee will be the cost of the first night. If you don't show up, the no-show fee will be the same as the cancellation fee.

Here's your itinerary & receipt. See ya soon!

[View our mobile site](#) | [View in browser](#)



[Manage Flight](#) | [Flight Status](#) | [My Account](#)



Hi **Jacqueline Dawn**,

We're looking forward to flying together! It can't come soon enough. Below you'll find your itinerary, important travel information, and trip receipt. See you onboard soon!

**FEBRUARY 16 - FEBRUARY 18**

**BNA**  **PIT**

Nashville to Pittsburgh

Confirmation # **3VVGVS**

Confirmation date: 02/14/2024

<b>PASSENGER</b>	<b>Jacqueline Dawn Lawson</b>
RAPID REWARDS #	22128483344
TICKET #	5262256925329
EST. POINTS EARNED	4,346

Rapid Rewards® points are only estimations.

## Your itinerary

**Flight 1:** Friday, 02/16/2024 Est. Travel Time: **1h 30m** Wanna Get Away Plus™

<b>FLIGHT # 3214</b>	<b>DEPARTS</b>		<b>ARRIVES</b>
	<b>BNA 10:45AM</b> Nashville		<b>PIT 01:15PM</b> Pittsburgh

**Flight 2:** Sunday, 02/18/2024 Est. Travel Time: **1h 50m** Wanna Get Away Plus™

<b>FLIGHT # 2907</b>	<b>DEPARTS</b>		<b>ARRIVES</b>
	<b>PIT 06:40AM</b> Pittsburgh		<b>BNA 07:30AM</b> Nashville

## Payment information

### Total cost

#### Air - 3VVGVS

Base Fare	\$	543.04
U.S. Transportation Tax	\$	40.73

### Payment

Univ Air Travel ending in 0287  
Date: February 14, 2024

**Payment Amount: \$613.97**

U.S. 9/11 Security Fee	\$	11.20
U.S. Flight Segment Tax	\$	10.00
U.S. Passenger Facility Chg	\$	9.00
<b>Total</b>	<b>\$</b>	<b>613.97</b>

**Fare rules:** If you decide to make a change to your current itinerary it may result in a fare increase.

Your ticket number: 5262256925329

## All your perks, all in one place. (Plus a few reminders.)



**Wanna Get Away Plus™ fare:** Your two bags fly free® and no change or cancel fees. Plus same-day flight changes, 8X Rapid Rewards® points, and a Transferable Flight Credit that can be used for yourself or shared with someone else. [Learn more.](#)



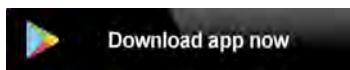
Make sure you know [when to arrive at your airport](#). Times vary by city.



If your plans change, cancel your reservation at least 10 minutes before the original scheduled departure time of your flight to receive a [Transferable Flight Credit™](#). If you don't cancel your reservation in time, your funds will be forfeited.

## Prepare for takeoff


Use our app to make changes to your trip, get a boarding pass, & more.



## Don't miss out on automatic check-in


When available, EarlyBird Check-In® reserves your boarding position at 36 hours before your flight, earlier than regular check-in.

[Get it now >](#)



**Earn up to 1,800 Rapid Rewards® points.**

Plus save up to 30% off base rates with Budget®.



[Book car >](#)



**J Lawson**

---

**From:** The UPS Store <noreply@theupsstore.com>  
**Sent:** Saturday, February 17, 2024 9:33 AM  
**To:** J Lawson  
**Subject:** The UPS Store Receipt

The UPS Store #4886  
5990 University Blvd  
Moon Twp, PA 15108-4229  
412-264-9333

Terminal.....: POS4886A Date.: 2/17/2024  
Employee.....: 281600 Time.: 09:31 AM  
Cashier's Name Connor

ITEM NAME QTY PRICE TOTAL

-----  
Ground Commercial \$23.65  
1 @ \$23.65  
Tax \$0.00  
MM7UGU9TBAD4J  
Tracking Number - 1Z4V8Y330312924432  
Ground Commercial \$32.15  
1 @ \$32.15  
Tax \$0.00  
MM7UGU97DKEC7  
Tracking Number - 1Z4V8Y330312927037  
Ground Commercial \$55.72  
1 @ \$55.72  
Tax \$0.00  
MM7UGU9EH9YQ5  
Tracking Number - 1Z4V8Y330346262969  
Ground Commercial \$28.44  
1 @ \$28.44  
Tax \$0.00  
MM7UGU90C0Q4R  
Tracking Number - 1Z4V8Y330312932236  
Ground Commercial \$23.49  
1 @ \$23.49  
Tax \$0.00  
MM7UGU98WXBXF  
Tracking Number - 1Z4V8Y330312934832  
Ground Commercial \$54.73  
1 @ \$54.73  
Tax \$0.00  
MM7UGU9TSHBEP



Tracking Number - 1Z4V8Y330346270763

Ground Commercial \$49.24

1 @ \$49.24

Tax \$0.00

MM7UGU9C5QX43

Tracking Number - 1Z4V8Y330312940030

Ground Commercial \$52.15

1 @ \$52.15

Tax \$0.00

MM7UGU92SAR6Q

Tracking Number - 1Z4V8Y330312942636

Ground Commercial \$52.15

1 @ \$52.15

Tax \$0.00

MM7UGU96G2U59

Tracking Number - 1Z4V8Y330346278569

Ground Commercial \$44.71

1 @ \$44.71

Tax \$0.00

MM7UGU945HJDK

Tracking Number - 1Z4V8Y330312947837

Ground Commercial \$49.24

1 @ \$49.24

Tax \$0.00

MM7UGU9Y3B365

Tracking Number - 1Z4V8Y330312950430

Ground Commercial \$62.22

1 @ \$62.22

Tax \$0.00

MM7UGU98NXGMX

Tracking Number - 1Z4V8Y330346286363

Ground Commercial \$49.24

1 @ \$49.24

Tax \$0.00

MM7UGU9GM6P4F

Tracking Number - 1Z4V8Y330312955631

Ground Commercial \$59.81

1 @ \$59.81

Tax \$0.00

MM7UGU9KFDW9U

Tracking Number - 1Z4V8Y330312958236

Ground Commercial \$52.15

1 @ \$52.15

Tax \$0.00

MM7UGU90PXHW9

Tracking Number - 1Z4V8Y330346294167

Ground Commercial \$18.69

1 @ \$18.69

Tax \$0.00  
MM7UGU9BC9VY5  
Tracking Number - 1Z4V8Y330312963435  
12x12x06 Box \$6.07  
1 @ \$6.07  
Tax \$0.42

-----  
Subtotal \$713.85  
Shipping/Other Charges \$0.00  
Total tax \$0.42  
-----

Total \$714.27

Cards \$714.27

=====  
Items Designated NR are NOT eligible  
for Returns, Refunds or Exchanges.

US Postal Rates Are Subject to Surcharge.  
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Tell us how we're doing for your chance to win  
a \$250 Amazon.com® Gift Card.  
Go to the link below to take the survey.

<https://bit.ly/theupsstore-EM>

NO PURCHASE NECESSARY. Void where prohibited.  
Ends 1/31/25. Must be US resident 18 years or older  
to enter. Limit (1) entry per person per month.  
For Official Rules visit  
[www.TheUPSStore.com/surveyrules2024](http://www.TheUPSStore.com/surveyrules2024)

Need Package Help?  
(lost/damaged)  
Provide details so we can help:  
<https://online.upscapital.com/tccp>

Discover \*\*\*\*\*2742 01710P  
02/17/2024 09:31 AM  
TID 750454060001  
Purchase  
Discover XXXXXXXXXXXX2742  
ENTRY METHOD CHIP

CVM SIGN

Invoice 0010015675

Clerk 28160

Response APPROVED

Auth Code 01710P

EMV DETAILS

MODE CHIP

AID A0000001523010

TVR 0000008000

IAD 0105A0800F80000000000000000000

TSI E800

ARC Z3

Amount USD \$714.27

LAWSON/JACQUELINE D

\*\*\* CUSTOMER COPY \*\*\*

**J Lawson**

---

**From:** The UPS Store <noreply@theupsstore.com>  
**Sent:** Saturday, February 17, 2024 :43 PM  
**To:** J Lawson  
**Subject:** The UPS Store Receipt

The UPS Store #4886  
5990 University Blvd  
Moon Twp, PA 15108-4229  
412-264-9333

Terminal.....: POS4886A Date.: 2/17/2024  
Employee.....: 234250 Time.: 05:40 PM  
Cashier's Name Grace

ITEM NAME QTY PRICE TOTAL

-----  
Ground Commercial \$42.50  
1 @ \$42.50  
Tax \$0.00  
MM7UGU9HAZ1RR  
Tracking Number - 1Z4V8Y330313002435  
Ground Commercial \$40.22  
1 @ \$40.22  
Tax \$0.00  
MM7UGU9EADJE0  
Tracking Number - 1Z4V8Y330313005030  
Ground Commercial \$49.24  
1 @ \$49.24  
Tax \$0.00  
MM7UGU9NM3SDT  
Tracking Number - 1Z4V8Y330346340964  
Ground Commercial \$42.50  
1 @ \$42.50  
Tax \$0.00  
MM7UGU9AK20C8  
Tracking Number - 1Z4V8Y330313010239  
Ground Commercial \$52.15  
1 @ \$52.15  
Tax \$0.00  
MM7UGU9Z150TB  
Tracking Number - 1Z4V8Y330313012835  
Ground Commercial \$55.72  
1 @ \$55.72  
Tax \$0.00  
MM7UGU98DHVY5

Tracking Number - 1Z4V8Y330346348760

Ground Commercial \$63.11

1 @ \$63.11

Tax \$0.00

MM7UGU9ZBJ10H

Tracking Number - 1Z4V8Y330313018035

Ground Commercial \$46.48

1 @ \$46.48

Tax \$0.00

MM7UGU9MS00TG

Tracking Number - 1Z4V8Y330313020639

Ground Commercial \$60.40

1 @ \$60.40

Tax \$0.00

MM7UGU96U407F

Tracking Number - 1Z4V8Y330346356564

Ground Commercial \$61.60

1 @ \$61.60

Tax \$0.00

MM7UGU9HPEKNH

Tracking Number - 1Z4V8Y330313025830

Ground Commercial \$63.11

1 @ \$63.11

Tax \$0.00

MM7UGU9Y0A4W5

Tracking Number - 1Z4V8Y330313028435

Ground Commercial \$54.73

1 @ \$54.73

Tax \$0.00

MM7UGU9AHXEVF

Tracking Number - 1Z4V8Y330346364368

Ground Commercial \$62.70

1 @ \$62.70

Tax \$0.00

MM7UGU9MGYTU5

Tracking Number - 1Z4V8Y330313033634

Ground Commercial \$62.70

1 @ \$62.70

Tax \$0.00

MM7UGU9RCYH55

Tracking Number - 1Z4V8Y330313036239

Ground Commercial \$63.11

1 @ \$63.11

Tax \$0.00

MM7UGU9SN2244

Tracking Number - 1Z4V8Y330346372162

Ground Commercial \$59.08

1 @ \$59.08

Tax \$0.00  
MM7UGU9R9KDX4  
Tracking Number - 1Z4V8Y330313041438  
Ground Commercial \$62.70  
1 @ \$62.70  
Tax \$0.00  
MM7UGU9KKT228  
Tracking Number - 1Z4V8Y330313044033  
Ground Commercial \$63.11  
1 @ \$63.11  
Tax \$0.00  
MM7UGU9STT179  
Tracking Number - 1Z4V8Y330346379969  
Ground Commercial \$60.40  
1 @ \$60.40  
Tax \$0.00  
MM7UGU92EW3JK  
Tracking Number - 1Z4V8Y330313049234  
Ground Commercial \$59.08  
1 @ \$59.08  
Tax \$0.00  
MM7UGU9UYJNT5  
Tracking Number - 1Z4V8Y330313051838  
Ground Commercial \$47.98  
1 @ \$47.98  
Tax \$0.00  
MM7UGU9T2D8Y5  
Tracking Number - 1Z4V8Y330346387763  
Ground Commercial \$29.35  
1 @ \$29.35  
Tax \$0.00  
MM7UGU9YJQ365  
Tracking Number - 1Z4V8Y330313057038  
18x14x12 Box \$43.50  
5 @ \$8.70  
Tax \$3.05

-----  
Subtotal \$1,245.47  
Shipping/Other Charges \$0.00  
Total tax \$3.05  
-----

Total \$1,248.52

Cards \$1,248.52

=====  
Items Designated NR are NOT eligible  
for Returns, Refunds or Exchanges.

US Postal Rates Are Subject to Surcharge.

<B: 12402174886A035218>

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<https://bit.ly/theupsstore-EM>

NO PURCHASE NECESSARY. Void where prohibited.

Ends 1/31/25. Must be US resident 18 years or older  
to enter. Limit (1) entry per person per month.

For Official Rules visit

[www.TheUPSStore.com/surveyrules2024](http://www.TheUPSStore.com/surveyrules2024)

Need Package Help?

(lost/damaged)

Provide details so we can help:

<https://online.upscapital.com/tccp>

Discover \*\*\*\*\*2742 01787P

02/17/2024 05:40 PM

TID 750454060001

Purchase

Discover XXXXXXXXXXXX2742

ENTRY METHOD CHIP

CVM SIGN

Invoice 0010015704

Clerk 23425

Response APPROVED

Auth Code 01787P

EMV DETAILS

MODE CHIP

AID A0000001523010

TVR 0000008000

IAD 0105A0800F80000000000000000000

TSI E800

ARC Z3

Amount USD \$1,248.52

LAWSON/JACQUELINE D

\*\*\* CUSTOMER COPY \*\*\*



Rental Record# 967677605



JACQUELINE LAWSON

Vehicle: 2024 ARMADA  
License: VA TMJ6841

Lot: \_\_\_\_\_  
Rental Rate\* 2 @ \$ 66.74 per day T \$ 133.48  
@ \$ 66.74 ex day T \$

\*Includes Unlimited Miles  
**Additional Products**  
Loss Dmg Wvr Accepted @ \$ 41.99 per day T \$ 83.98  
Frequent Flyer Surcharge WN 00022128483344 T \$ 3.00  
Vehicle Upgrade \$ 75.00 per day / \$ 56.25 per hour T \$ 150.00

**Fuel Responsibility** Starting Level 100%  
You agree to replace fuel used or pay a refuelling charge of \$ 10.71 per gallon OR \$ .824 per mile driven.

**Service Charges/Taxes**  
CONCESSION FEE RECOVERY 11.11% T \$ 41.15  
CFC/PA&VEH 20.00  
Tax 9.000% On Est. Taxable Ttl \$ 411.61 \$ 37.04

**ADJUSTMENTS**  
**TOTAL ESTIMATED CHARGE \$ 468.65**

Credit Card Authorization Amount \$ 669.00  
**Rented By Dollar Rent A Car, Inc.**  
Vehicle: 01898 / 5802632 LocNum: PAPIT22 / 0055522  
Miles Out: 2424 Plan: RCUD1 Class: R  
Rental Location: PITTSBURGH INTL AIRPORT  
Rental Time: 02/16/24 at 1:48 PM  
Return Location: PITTSBURGH INTL AIRPORT  
Return Time: 02/18/24 at 6:00 AM

**Rental Extensions/Changes or  
Emergency Road Service 1-866-434-2226**

This estimate assumes you will rent and return at the locations and times indicated, and that you will not exceed any mileage limitations.  
Rental Rate subject to increase if You return Car more than 24 hours before or 24 hours after scheduled Return Time. Late returns may be subject to extra hour and/or extra day charges.  
Charges indicated as \*\*\*\* will be calculated at return.  
Taxable charges are preceded by a "T".  
967677605 PG 1 OF 7 #01 RT



Rental Record# 967677605



JACQUELINE LAWSON

Vehicle: 2024 ARMADA  
License: VA TMJ6841

Lot: \_\_\_\_\_

**To view full explanation of charges and Terms  
and Conditions of rental, scan below:**



**Physical copy of Rental Record and Terms  
and Conditions available upon request**

Credit Card Authorization Amount \$ 669.00  
**Rented By Dollar Rent A Car, Inc.**  
Vehicle: 01898 / 5802632 LocNum: PAPIT22 / 0055522  
Miles Out: 2424 Plan: RCUD1 Class: R  
Rental Location: PITTSBURGH INTL AIRPORT  
Rental Time: 02/16/24 at 1:48 PM  
Return Location: PITTSBURGH INTL AIRPORT  
Return Time: 02/18/24 at 6:00 AM

EXHIBIT 1  
PAGE 37



---

CREDIT CARD (...7343)

\$469.00  
Sale

Feb 18, 2024  
Transaction date

Pending (typically posts within 1-3  
business days)  
Posted date

---

Description HERTZ RENTAL SYSTEMS  
Category Travel

---

Keep in mind

These details may change once we finish processing the transaction—typically within 2–3 business days.

**PAR MAR STORES  
FOLLOW US ON  
FACEBOOK & TWITTER**

\*\*\*\*\*

BP  
921 BRODHEAD RD  
CORAOPOLIS PA 15108

< DUPLICATE RECEIPT >

Description	Qty	Amount
-----	---	-----
UNLD CR #08	4.341G	16.06
SELF @ 3.699/ G		
		-----
	Subtotal	16.06
	Tax	0.00
<b>TOTAL</b>		<b>16.06</b>
	CREDIT \$	16.06

DISCOVER

\*\*\*\*\*2742

Entry Method:Swiped

Auth #: 01773P

Veh #:

Resp Code: 000

Stan: 193812635112

Invoice #: 769112

BP SITE ID: 9622309

Save up to 15 cents  
off per gallon.

Find out how at  
[bp.com/localheroes](http://bp.com/localheroes)

REWARD

\*\*\*\*\*2742

Stan: 193812635112

**THANKS ,COME AGAIN**

ST# 81 TILL XXXX DR# 0 TRAN# 9089992

CSH: 0 EXHIBIT 2/17/24 18:51:32

# Receipt

Nashville International  
140 BNA Park Drive  
37214 Nashville, TN  
615-275-1045

Terminal Garage 1/2  
239 Exp. Exit: 8

from: 02/16/24 07:14:00  
to: 02/18/24 07:34:13  
Pay amount: \$ 65 00

	DISCOVER
AID	A0000001523010
APP LABEL	Discover
CARD	*****2742
PAN SEQ Number	02
AUTHORIZATION	01816P
TOTAL	USD\$65.00

	APPROVED	
UID		85102
TRAN	0000000165ad1d12	

	A	B	C	D	E	F
1	RMI TRAVEL REIMBURSEMENT REQUEST					
2						
3	TRAVEL TO:		<u>RIVERSEDGE OFFICE SEWICKLEY, PA (ALLEGHENY COUNTY)</u>			
4						
5	COMPANY TO CHARGE:		<u>RIVERSEDGE</u>			
6						
7	DATES IN TRAVEL STATUS		<u>2/16/24 TO 2/18/24</u>			
8						
9	<u>PER DIEM REIMBURSEMENT @\$64 PER DAY (3/4 1ST &amp; LAST DAYS (\$48.00))</u>					
10						
11	ENTER DATE IN COLUMN A AND AMOUNT IN COLUMN D					
12						
13	2/16/2024			48.00		
14	2/17/2024			64.00		
15	2/18/2024			48.00		
16						
17						
18					<u>160.00</u>	
19						
20						
21	<u>MILEAGE REIMB @ 65.5 CENTS PER MILE</u>					
22	ENTER DATE IN COLUMN A AND MILES IN COLUMN B					
23						
24	DATE		MILES	RATE	AMOUNT	
25	2/16/2024		15	0.650	9.75	
26	2/18/2024		15	0.650	9.75	
27					0.650	
28					<u>19.50</u>	
29						
30						
31	<u>LODGING TOTAL</u>				<u>19.50</u>	
32						
33						
34						
35						
36	<u>OTHER EXPENSES:</u>					
37						
38		SOUTHWEST ROUNDTRIP FLIGHT		613.97		
39		BNA PARKING 2 NIGHTS		40.00		
40						
41						
42						
43					<u>653.97</u>	
44						
45						
46	SUMMARY					
47	\$	160.00	PER DIEM REIMBURSEMENT @\$64 PER DAY (3/4 1ST & LAST DAYS (\$48.00))			
48		19.50	MILEAGE REIMB @ 65.5 CENTS PER MILE			
49		-	LODGING TOTAL			
50		653.97	OTHER EXPENSES:			
51	\$	<u>833.47</u>	REIMBURSEMENT REQUESTED			
52						
53	2/19/2024 13:46					

*Lauren Garcia*

*2/19/24*

**L Garcia**

**From:** Southwest Airlines <southwestairlines@ifly.southwest.com>  
**Sent:** Wednesday, February 14, 2024 1:53 PM  
**To:** L Garcia  
**Subject:** You're going to Pittsburgh on 02/16 (3VRTUE)!

Here's your itinerary & receipt. See ya soon!  
[View our mobile site](#) | [View in browser](#)



[Manage Flight](#) | [Flight Status](#) | [My Account](#)

**Hi Lauren B,**  
We're looking forward to flying together! It can't come soon enough. Below you'll find your itinerary, important travel information, and trip receipt. See you onboard soon!

FEBRUARY 16 - FEBRUARY 18

**BNA** **PIT**

Nashville to Pittsburgh

Confirmation # **3VRTUE**

Confirmation date: 02/14/2024

**PASSENGER** Lauren B Garcia  
**RAPID REWARDS #** [Join](#) or [Log in](#)  
**TICKET #** 5262256913055  
**EST. POINTS EARNED** 4,346

Rapid Rewards® points are only estimations.

## Your itinerary

**Flight 1:** Friday, 02/16/2024 Est. Travel Time: 1h 30m [Wanna Get Away Plus™](#)

**FLIGHT # 3214** **DEPARTS** **BNA 10:45AM** **ARRIVES** **PIT 01:15PM**  
Nashville Pittsburgh

**Flight 2:** Sunday, 02/18/2024 Est. Travel Time: 1h 50m [Wanna Get Away Plus™](#)

**FLIGHT**  
# 2907

**DEPARTS**  
**PIT 06:40AM**  
Pittsburgh



**ARRIVES**  
**BNA 07:30AM**  
Nashville

## Payment information

Total cost		Payment	
<b>Air - 3VRTUE</b>		<b>Amer Express ending in 2009</b>	
Base Fare	\$ 543.04	Date: February 14, 2024	
U.S. Transportation Tax	\$ 40.73	<b>Payment Amount: \$613.97</b>	
U.S. 9/11 Security Fee	\$ 11.20		
U.S. Flight Segment Tax	\$ 10.00		
U.S. Passenger Facility Chg	\$ 9.00		
<b>Total</b>	<b>\$ 613.97</b>		

**Fare rules:** If you decide to make a change to your current itinerary it may result in a fare increase.

Your ticket number: 5262256913055

## All your perks, all in one place. (Plus a few reminders.)



**Wanna Get Away Plus™ fare:** Your two bags fly free® and no change or cancel fees. Plus same-day flight changes, 8X Rapid Rewards® points, and a Transferable Flight Credit that can be used for yourself or shared with someone else. [Learn more.](#)



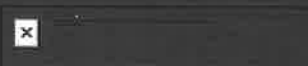
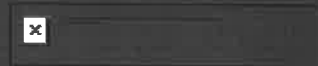
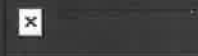
Make sure you know [when to arrive at your airport](#). Times vary by city.



If your plans change, cancel your reservation at least 10 minutes before the original scheduled departure time of your flight to receive a [Transferable Flight Credit™](#). If you don't cancel your reservation in time, your funds will be forfeited.

## Prepare for takeoff

Use our app to make changes to your trip, get a boarding pass, & more.



Don't miss out on automatic check-in

# Receipt

Nashville International  
140 BNA Park Drive  
37214 Nashville, TN  
615-275-1045

Terminal Garage 1/2  
239 Exp. Exit: 8

from: 02/16/24 08:21:00  
to: 02/18/24 07:31:18  
Pay amount: \$ 60.00

	VISA
AID	A0000000031010
APP LABEL	VISA DEBIT
CARD	*****8483
PAN SEQ Number	01
AUTHORIZATION	178261
TOTAL	USD\$60.00

	APPROVED	
UID		85101
TRAN	000000165ad1437	

## RMI EXP RECOVERABLE REDGE

1/26/2024 through 2/29/2024

4/11/2024

Page 1

Category	1/26/2024- 1/31/2024	2/1/2024- 2/29/2024	OVERALL TOTAL
<b>5100 FEES RMI</b>			
5300-RECEIVERS FEES	-4,630.80	-6,079.20	-10,710.00
5610-CONTRACT LABOR RMI	0.00	-18,215.52	-18,215.52
<b>TOTAL 5100 FEES RMI</b>	<b>-4,630.80</b>	<b>-24,294.72</b>	<b>-28,925.52</b>
<b>5150 FEES LEGAL</b>			
5400-LEGAL FEES	0.00	-11,344.50	-11,344.50
<b>TOTAL 5150 FEES LEGAL</b>	<b>0.00</b>	<b>-11,344.50</b>	<b>-11,344.50</b>
<b>5150 FEES OTHER</b>			
5697-OTHER CONTRACT LABOR	0.00	-26,850.00	-26,850.00
<b>TOTAL 5150 FEES OTHER</b>	<b>0.00</b>	<b>-26,850.00</b>	<b>-26,850.00</b>
<b>5300 EXPENSE</b>			
6205-COPIES	0.00	-0.90	-0.90
6350-TRAVEL EXPENSE	0.00	-4,517.24	-4,517.24
<b>TOTAL 5300 EXPENSE</b>	<b>0.00</b>	<b>-4,518.14</b>	<b>-4,518.14</b>
<b>OVERALL TOTAL</b>	<b>-4,630.80</b>	<b>-67,007.36</b>	<b>-71,638.16</b>



**IN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF PENNSYLVANIA**

<b>JULIA A. SUE, ACTING SECRETARY OF U.S. DEPARTMENT OF LABOR,</b>	)	
	)	
	)	
<b>Plaintiff,</b>	)	<b>CASE NO. 2:24-CV-00104 (MJH)</b>
	)	
<b>v.</b>	)	
	)	
<b>RIVERSEDGE ADVANCED RETIREMENT SOLUTIONS, LLC a Pennsylvania Company, PAUL PALGUTA, an individual,</b>	)	
	)	
	)	
<b>v.</b>	)	
	)	
<b>MID ATLANTIC TRUST COMPANY dba AMERICAN TRUST CUSTODY, a corporation, and CHARLES SCHWAB TRUST BANK, a corporation, <i>solely as Rule 19</i> <i>defendants</i></b>	)	
	)	
<b>Defendants.</b>	)	

**CERTIFICATE OF SERVICE REGARDING  
INDEPENDENT FIDUCIARY’S FIRST NOTICE OF FEE FILING**

I hereby certify that on April 19, 2024, I caused the Independent Fiduciary’s First Notice of Fee Filing [ECF #67] to be filed and electronically served using the Court’s CM/ECF system to the following:

Andrea Christensen Luby  
U.S. Department of Labor  
Office of the Regional Solicitor  
1835 Market Street  
Mailstop SOL/22  
Philadelphia, PA 19103  
[Luby.andrea@dol.gov](mailto:Luby.andrea@dol.gov)

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*Counsel for Rule 19 Defendants Charles Schwab, et al.*

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*Counsel for Rule 19 Defendant LCBC Church 403(B) Plan*

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[Richard.ottinger@wrvblaw.com](mailto:Richard.ottinger@wrvblaw.com)

*Counsel for Rule 19 Defendant Christian Aid Mission 403(b) Plan*

I hereby certify that on April 19, 2024, I caused the Independent Fiduciary's First Notice of Fee Filing [ECF #67] to be served upon the following, by First Class Mail, postage prepaid, and by email:

Beaver County Deferred Compensation Plan  
Beaver County Courthouse  
810 Third Street  
Beaver, PA 15009  
ATTN: Garen Fedeles, Esq., Beaver County Solicitor  
[gfedeles@beavercountypa.gov](mailto:gfedeles@beavercountypa.gov)

Respectfully submitted,

**RECEIVERSHIP MANAGEMENT, INC., AS  
COURT-APPOINTED INDEPENDENT  
FIDUCIARY OF MISMANAGED PLANS AND  
CLIENT PLANS OF RIVERSEDGE  
ADVANCED RETIREMENT SOLUTIONS  
LLC,**

/s/ Bynum E. Tudor III

Bynum Tudor III (TN Bar 012279)

*Admitted Pro Hac Vice*

Berry & Tudor PC

5123 Virginia Way

Suite B-23

Brentwood, Tennessee 37027

(615) 726-1000

(615) 370-0077 (fax)

*Counsel for Receivership Management, Inc.*